



USPTO Budget Update

July 28, 2017

Tony Scardino
Acting Deputy Under Secretary of Commerce for Intellectual Property and
Acting USPTO Deputy Director

UNITED STATES
PATENT AND TRADEMARK OFFICE



Agenda

- **FY 2017 Status**
- **FY 2018 Budget**
- **FY 2019 Budget**
- **Fee Review**

FY 2017 Status – Fees

- Planned Trademark fee estimate is \$306.2M

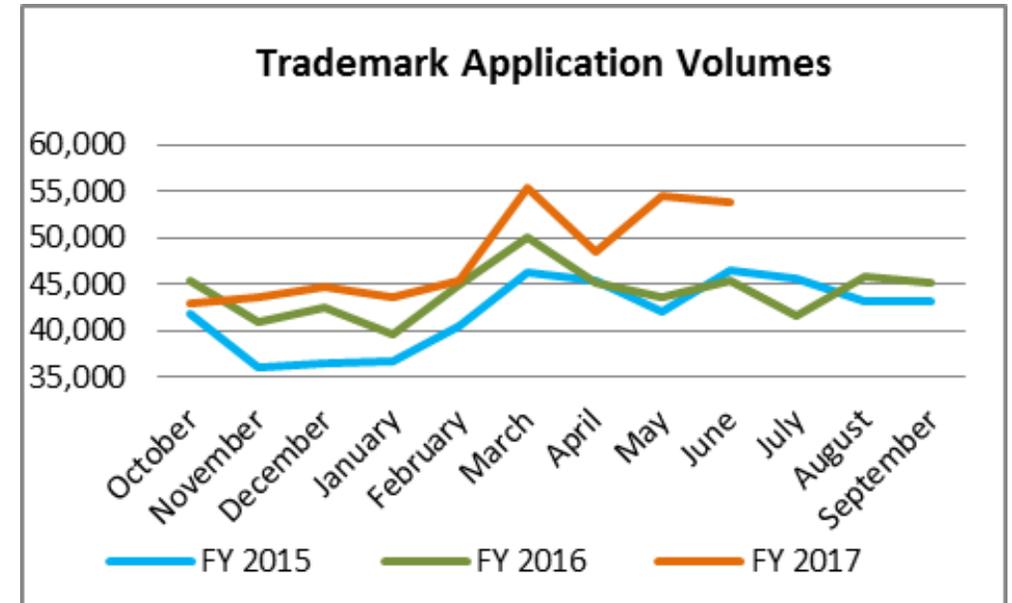
Total Trademark Collections (Dollars in Thousands)

FY 2015		FY 2016		FY 2017	
Actual		Actual	Increase	Plan	Increase
\$271,963		\$277,579	2.1%	\$306,224	10.3%

- Collections through June 30th are currently 8.0% above the corresponding FY 2016 timeframe

Year-To-Date Comparison (Dollars in Thousands)

FY 2015		FY 2016		FY 2017	
Actual		Actual	Increase	Actual	Increase
\$204,627		\$208,843	2.1%	\$225,485	8.0%



FY 2017 Status – Projected Results

Projected End-of-Year Funding as of June 30, 2017

Trademarks

Projected Income Summary	Actual
Fee Collections – End-of-Year	\$306,224,355
Actual Prior Year Carryover	106,998,905
Other Income – Current Apportionment	6,648,387
<i>Total Projected Income</i>	<i>419,871,647</i>
Projected Spending	
Actual Commitments and Obligations	250,054,350
Projected Spending for Remainder of Year	58,718,880
<i>Total Projected Spending</i>	<i>308,773,230</i>
Total Projected Operating Reserve	\$111,098,417

FY 2018 Budget

- The FY 2018 President's Budget was publically released on May 23, 2017
- The fee collection estimate is \$3.6B
 - Trademarks planned fee collections and other income: \$341M
 - Trademark budgetary requirements total \$327M
- The budget places a high priority on items related to the Trademark organization including:
 - Optimize the workforce to align examination capacity with application filing growth estimates while meeting quality and pendency targets
 - Increase Trademark Trial and Appeal Board staffing to align capacity with projected workload
 - Continue investment in the Trademark IT Portfolio

FY 2018 Budget *(continued)*

- **DoC Secretary Ross' appropriation hearings before the House and Senate CJS subcommittees occurred on May 25, 2017 and June 8, 2017**
 - Both hearings focused on other bureaus within the Department

FY 2019 Budget

- **USPTO received guidance on formulating the FY 2019 OMB submission in July**
 - The agency is preparing its FY 2019 Budget for OMB's initial review in September 2017
 - TPAC will be provided a summary of this information to review

Fee Review

- **USPTO continues its biennial fee review, which was initiated in January 2017**
 - The Agency is currently assessing comments related to trademark and patent fees
- **Absent Congressional action, the USPTO's fee setting authority under section 10 of the AIA will expire on September 16, 2018**

Questions and Comments

Tony Scardino

Chief Financial Officer

(571) 272-9200

Tony.Scardino@USPTO.GOV

uspto